PTO/SB/21 (08-03) **Application Number** 10/006,848 TRANSMITTAL Filing Date November 7, 2001 FORM First Named Inventor McMillan, William A. (to be used for all correspondence after initial filing) **Art Unit** 1744 David A. Redding **Examiner Name Total Number of Pages in This Attorney Docket Number** 020048-002540US Submission ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communication to Group Appeal Communication to Board of Appeals Fee Attached Licensing-related Papers and Interferences \_\_\_ Petition Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application**  ☐ Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Return Postcard **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Account 20-1430. Remarks Document(s) Response to Missing Parts/ **Incomplete Application** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Chun-Pok Leung Reg. No. 41,405 Individual Signature Date

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TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WILLIAM A. McMILLAN et al.

Application No.: 10/006,848

Filed: November 7, 2001

For: DEVICE AND METHOD FOR LYSING CELLS, SPORES, OR

**MICROORGANISMS** 

Examiner:

David A. Redding

Art Unit:

1744

Confirmation No.: 1015

RESPONSE TO OFFICE ACTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed March 12, 1004. Applicants respectfully traverse the restriction requirement.

The restriction requirement is based on the allegation that the claims cover patentably distinct species:

Species I: Claims 1-11 directed to method and device for lysing cells using mechanical means (ultrasonic waves); and

Species II: Claims 12-21 directed to method and device for lysing cells using chemical coupled with mechanical means.

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Claims 12-21, however, do <u>not</u> recite any "chemical" coupled with mechanical means. Therefore, the grounds for the restriction requirement does not exist. The Examiner is respectfully requested to withdraw the restriction requirement.

In the event that restriction requirement is maintained, Applicants would elect Species I (claims 1-11) for prosecution, and respectfully request that the Examiner explain the basis for the restriction requirement.

Respectfully submitted,

Chun-Pok Leung Reg. No. 41,405

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